




Advisor Access Request

Client Services
866.928.9394
512.637.5739

www.StrataTrust.com

Send to: (Please submit using one method)
Email: AccountMaintenance@StrataTrust.com
Fax: 512.495.9554
US Mail: PO Box 23149, Waco, TX 76702
Overnight: 7901 Woodway Dr., Ste 200, Waco, TX 76712

Please complete this form if you are a financial advisor firm requesting access to your client's account information through a portfolio management system.

| Section 1 | | Advisor Information | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|--------------------------|----------------|
| Advisor Name | | Rep # (if applicable) | |
| Company Name | | | |
| Address | | City | State Zip |
| Phone | Email | | Fax |
| Section 2 | | Advisor Access | |
| <p>The above-referenced Advisor acknowledges that certain clients of the Advisor who have accounts with STRATA Trust Company ("STRATA") have designated (or will designate) the Advisor as the Account Designated Representative ("ADR") on their STRATA accounts. As such, the Advisor has the power to act as the clients' agent to (1) authorize instructions and investment directions (public investments only) on their behalf to STRATA, (2) receive copies of any and all correspondence related to their STRATA accounts, including, but not limited to, Account statements, and (3) have unlimited access to information regarding their account with STRATA.</p> <p>In addition to being granted online access to view your clients' STRATA accounts, the Advisor may also request that STRATA provide account activity to third-party portfolio management services utilized by the Advisor. To authorize data downloads of client information, please specify Advisor's third-party portfolio management system provider below:</p> | | | |
| System Provider Name | | Contact | |
| Email | | Phone | |
| Website | | | |
| Section 3 | | Signature(s) | |
| <p>The Advisor authorizes STRATA to provide data downloads and/or grant the access authorized within this Advisor Access Request form. By accepting the ADR designation by a STRATA accountholder, Advisor shall be bound by all the terms and conditions within the Custodial Agreement that governs such accounts at STRATA. Advisor agrees that it is responsible for providing STRATA with accurate and updated information, including any changes to their data provider or contact information, and STRATA has no responsibility and assumes no liability for the accuracy of, or changes to, this information. By signing this form, Advisor certifies that it is an authorized signer to sign on behalf of the firm.</p> | | | |
|  _____ Advisor's Signature | | _____ Date | |