



IRA Transfer Request Cash Only

Form Submission Instructions: (Choose One)

Option 1: **SERVICENOW**, e-sign and submit forms securely to STRATA. Use this option for faster form submission.

Go to <https://www.stratatrust.com/resource-center/forms/> for form access and instructions.

Option 2: You may print + email, fax, or mail the form as shown below:

Email: IncomingTransfers@StrataTrust.com
Fax: 512.495.9554
US Mail: PO Box 23149, Waco, TX 76702
Overnight: 7901 Woodway Drive, Waco, TX 76712

Section 1

Account Information

Account from which you wish to transfer:

Account Number with
Current Custodian

Name as it appears
on the Account

Type of IRA :
(Please Select One)

☐

Traditional IRA

☐

SEP IRA

☐

Roth IRA

☐

SIMPLE IRA

Account to receive your transfer:

Your
Name

Social
Security Number

Type of IRA:
(Please Select One)

☐

Traditional IRA

☐

SEP IRA

☐

Roth IRA

☐

SIMPLE IRA

***To initiate a direct rollover from an employer-sponsored retirement plan, contact your plan administrator.

STRATA IRA Number

Name of
Current Custodian

Your Daytime
Phone Number

Current Custodian's
Physical Address

Address Line 2
(No PO Boxes)

City

State

Zip

Custodian's Phone Number

Custodian's Fax Number

Check if this Transfer is:

☐

Transfer of an IRA for which you are the Spouse Beneficiary

☐

Transfer of an Inherited IRA

☐

Transfer Due to Divorce

Section 2

Cash to Transfer

Please Select One:

☐

Transfer All Available Cash

☐

Transfer Exactly: \$ _____

Select One: This is a

☐

Full Transfer (This will close my account)

☐

Partial Transfer (This account will remain open)

Remit Cash to STRATA Trust Company as shown below:

- ☐ Send Check by U.S. Mail
- ☐ Send Check for Overnight Delivery

Make check payable and mail as shown below:

STRATA Trust Company, Custodian

FBO _____ IRA # _____

U.S. Mail Address:PO Box 849
Austin, TX 78767Overnight Delivery Address:901 S. Mopac Expressway
Barton Oaks Plaza II, Suite 100
Austin, TX 78746

- ☐ Wire Funds

If no selection is made, STRATA
will request your Current
Custodian mail a check by USPS
first class mail.

Wiring Instructions:Horizon Bank
600 Congress Avenue
Austin, TX 78701

ABA: 111907940

Account Name: STRATA Custodial Account

Account Number: 4515532

FCT: Account Name _____ IRA # _____

Section 3**Instruction to STRATA for Delivery Request to Current Custodian**

- ☐ UPS Ground

If no selection is made, this request will
be sent by UPS Ground Delivery to the
Current Custodian.

- ☐ Overnight Select ☐ FedEx ☐ UPS

____ Deduct the overnight fee from my Account.

____ Charge my FedEx or UPS account # _____

- ☐ Fax # _____

Attn _____

You must first verify the Current Custodian will
accept a faxed copy

Section 4**Accountholder Authorization**

I authorize the IRA transfer or direct rollover in the manner described above and certify that all of the information provided by me is correct and may be relied upon by the Custodian. I understand that I am responsible for determining my eligibility for transfer or direct rollover within the limits set forth by tax laws, related regulations and plan agreements. I represent that I have established an IRA account with STRATA Trust Company ("STRATA") as Custodian. I agree to indemnify and hold harmless both my present Custodian and STRATA from any and all costs, obligations, losses, claims, damages and expenses (including reasonable attorney fees) related or associated with this request. If special handling is requested (wire or overnight delivery), I authorize the deduction of applicable fees from my account. I assume responsibility for any tax consequences or penalties that may apply and I agree that the Custodian shall in no way be held responsible.

- Before signing, check with your present Custodian to determine whether it will require a Medallion Signature Guarantee to process this request.
- If a signature guarantee is not required, please sign below and send this form to STRATA.
- If required, a signature guarantee can be obtained from your bank or a brokerage firm. A signature guarantee may not be obtained from a notary public.
- STRATA permits an Accountholder to e-sign this Transfer Request. However, you should first check with your current Custodian to confirm whether they will honor it. Otherwise, they may reject this request which would delay your transfer.

MEDALLION SIGNATURE GUARANTEE

A Medallion Signature Guarantee Program is approved by the Securities Transfer Association. Participating financial institutions guarantee that the individual signing this form is in fact the owner of the account for which the transfer is being requested.

_____
Accountholder Signature_____
Date**Section 5****Letter of Acceptance**

The account for the above-named individual is a valid IRA and STRATA Trust Company hereby accepts appointment as Custodian for the IRA account and agrees to accept the assets for transfer or direct rollover as indicated herein.

Authorized Signature of STRATA Trust Company, IRA Custodian_____
Date